OMB Control No. 1076-0017 Expires: 06/30/2017



# United States Department of the Interior

BUREAU OF INDIAN AFFAIRS, 1849 C Street, NW, Washington, DC 20240 (202) 513-7673

# **Keweenaw Bay Indian Community Assistance Program's**

16429 Beartown Road, Baraga, MI 49908 Telephone (906) 353-4162 \* Fax (906) 353-4141

NTERVIEW DATE:	

#### APPLICATION FOR FINANCIAL ASSISTANCE AND SOCIAL SERVICES INSTRUCTIONS

Any individual or family may apply for Bureau of Indian Affairs Financial Assistance and Social Services by completing the application process with the assistance of the Social Services worker and providing the following required information: proof of tribal membership; proof of residency; proof of income and resources. Failing to provide this information may result in denial of Financial Assistance and Social Services.

#### DIRECTIONS FOR COMPLETING "APPLICATION FOR FINANCIAL ASSISTANCE AND SOCIAL SERVICES" FORM

Please fill in <u>your</u> NAME/TRIBE/PHYSICAL ADDRESS/PHONE NUMBER/MAILING ADDRESS (if different from physical address) or provide directions on how to get to your home. Please also respond to the two questions.

#### Section I: FAMILY PROFILE OF HEAD OF HOUSEHOLD MEMBERS APPLYING

Under Family Profile, fill in the following information to the best of your ability. First, start with yourself. Please fill in your name (Last, First, Middle), Date of Birth (mm/dd/yyyy), Sex (M/F), your marital status, the highest education level received, Social Security Number, and finally your Tribal Enrollment Number. Next, complete the names of the total members of the household starting with your spouse and then children in descending order of age. For each member list the birth date, sex, and relation to the head of household, marital status, highest education received, Social Security Number, and Tribal Enrollment number. If you are living in a household with more than one (1) family, list the family members that fall under your household.

#### Section II: TYPES OF FINANCIAL ASSISTANCE AND SOCIAL SERVICES

Put a check mark in the boxes for the services you are applying. This will assist your Social Services worker in determining which portions of the application you will need to complete.

#### Section III: EARNED & UNEARNED INCOME

All income, including earned and unearned income, for yourself and any other person in your household, is to be listed on the application. You are required to provide proof of income.

Earned Income is cash or any in-kind payment earned in the form of wages, salary, commissions, or profit by an employee or self-employed individual. This includes one-time payments for ongoing activities such as sale of crops or sale of art-work. Self-employed individuals must report profits from business enterprises (gross receipts minus business expenses included in the production of goods or services). Business expenses do not include depreciation, personal transportation costs, capital equipment purchases or principal payments on loans for capital assets or durable goods. (25 CFR §20.308)

Unearned Income includes but is not limited to; interest, royalties, gaming income or other per capita distribution not excluded by federal statue, rental property, cash contributions such as child support or alimony, gaming winnings, retirement benefits, annuities, veteran's disability, unemployment benefits, and tax refunds. Other types of unearned income include financial assistance from government agencies, income from sale of trust land or other real or personal property set aside for investment in trust land that has not been reinvested in trust land or a sale of a primary residence that has not been reinvested in a primary residence at the end of one year from the date the income was received, and in-kind contributions providing free shelter up to the 25% of the amount for shelter included in the state standard. (25 CFR §20.309).

Under Section II and Section III please complete questions 1-4 to the very best of your ability based on the information provided above. If you are unsure of the question please ask your Social Services worker for assistance or clarification.

### Section IV: STATEMENT OF COOPERATION

The Statement of Cooperation is a confirmation of your understanding of the provisions of the Federal Law governing fraud, and you agree to supply information regarding resources and income and to notify the agency of any change in your living situation. Also you must sign the Release of Information authorizing the Social Services Program to obtain and/or exchange information necessary to establish eligibility for Financial Assistance and Social Services.

OMB Control No. 1076-0017	II S Denar	tme	nt /	of tl	he In	terior	Date of	Application	n:				
U.S. Department of the Interior  BIA Form # 5-6601  Revised: 11/16/16  U.S. Department of the Interior  Bureau of Indian Affairs  Division of Human Services				Date of Interview:									
, ,							Appro	oved; Date:		_ to _			
							Denie	d; Date:		:		Initial	S
A	PPLICATIO	)N fo	r					or Denial:			·	Initials	
FINANCIAL ASSISTANCE and SOCIAL SERVICES													
		AR	EAS	ARE	FOR B	SIA AGENCY			ation _		/		
Name						Tuib	/Envalled	omt Numbo					
Name:						11106	e/Enronini	ent Number	r:				_
Other Name(s) Used:						Phor	ne Number	:					-
Mailing Address:													-
Physical Address:						Cel	l/ MSG Nu	mber:					
Provide directions on how to	get to your hon	ne:											
1. Reason for applying for Fin	ancial Assistan	ce and	Soci	al Se	rvices	?							
2. What type of income have	you been living	on for	the	last t	hree (3	3) months?							
Section I:	FAMILY PROF	ILE OI	F HE	AD O	F HOU	JSEHOLD M	EMBERS A	APPLYING	(25 CF	R §20	.308	3)	
Fill in all required blanks for													1
your spouse and children, the	en other adults a			en. Pi Birth	lace an	asterisk (*)	Marital	of each per	son no	t inclu	ided	ın payment.	
							Status (Married,						
Members of Hou	sehold	р		١.	Sex	Relation to	Single,	Highest Grade/	Soc		fied	Tribal	fied
(Last, First, Mi		Month	Day	Year	(M/F)	Head of Household	Widowed, Divorced,	Degree	Secu Num		/erified	Enrollment Number	Verified
		Σ	_			nousenoid.	Common	Completed	11411		>	number	
							Law, Separated)						
1.						SELF	beparateu)						
2.													
3.													
4.													
5.													
6.													
7.													
8.													
Section II: TYPES OF													
Items with an asterisk (*) require B <b>General Assistance</b>		roval & B. Ch				ring for Foster	Care or Adop			BIA Lind <b>Servi</b> d			nature
				r Car			Assistan					tection	
D. Burial Assistance		* Residential Care			* Homemakers Adult Protection Child & Family Services								
E.   Emergency Assistance	e	* Adoption Subsidy * Guardianship Subsidy			Services *□ Resi	dential Car	e/	I Chila IIM S					
G. Information & Referral	Only	Special Needs			Group Home								

\* Homemakers Services

G. Information & Referral Only

Section III. EARN	ED INCOME & UNEA	RNED INCOME (25	CFR §20.308	-§20.310)	
Is anyone in the household currently working If yes, identify Household Member(s) who are			☐ Yes	☐ No	
	A		_		
Household Member # 2	A	mount \$:	_		
Household Member # 3		mount \$:			
Do you expect to receive or are receiving any (If yes, put a check mark in the box in front of below; use additional space for further explar	all unearned income		Yes ent) received	☐ No by any hous	ehold members, (see box
Earned Income	iation.j	<b>Unearned Income</b>			
☐ Wages/ Salary	Amount: \$	Supplemental Secu	rity Income (S	SI)	Amount: \$
☐ Alimony/ Child Support	Amount: \$	☐ TANF			Amount: \$
Gifts/ Contributions	Amount: \$	Food Stamps			Amount: \$
☐ Income Tax Refund (Federal/State)	Amount: \$	Commodities			·
☐ Insurance Settlement (Auto Accident, etc.)	Amount: \$	☐ Foster Care Payme	ents		Amount: \$
☐ Interest/ Dividends (Bank Accounts) Other (list):	Amount: \$	Other (list) (Example: Carl Perkin	s P.L. 105-332)		Amount: \$
Lease Income (list)	Amount: \$	Other (list)	<u> </u>		Amount: \$
Lottery/ Gaming Income (cash winnings)	Amount: \$	(Example: Alaska Nati			
, , , , , , , , , , , , , , , , , , ,		gross and net earning			proved- need to specify
☐ Retirement Benefits/ Pensions ☐ Royalties	Amount: \$ Amount: \$		igs. (Social St	civice work	er section)
☐ Tribal Per Capita Payments	Amount: \$				
Social Security/ Survivor/ Disability Benefits	Amount: \$				
Unemployment Benefits	Amount: \$				
☐ Veteran's Benefits/ Payments	Amount: \$				
Worker's Compensation Benefits	Amount: \$				
Farm/ Ranch Income	Amount: \$				
Have you applied for TANF? Have you been terminated from TANF past 90 Are you eligible to reapply for TANF? Have you applied for other Resources/ Program	YES NO	) )			
•	Section IV. STATEM	ENT OF COODED AT	ION		
I/We apply for financial assistance/ services for I/We have received a copy of and have had exp	r the listed members of	my (our) household w	ho are in need.		d.
Under 18 U.S.C. §1001, the Federal Law concer of the United States, knowingly and willfully far any false writing or documents, knowing the sa \$10,000 or imprisoned not more than five year	lsifies, conceals, or cove nme to contain any false	rs up by any trick, sche	eme, or devise a	a material fac	t, or makes or uses
I (We) agree to supply information regarding r Information: Human Services is authorized to o or had explained to me/us, the provision of our	btain/exchange inform	ation necessary to esta	ablish eligibility	y for assistanc	
Read, Unders	stood & Signed the Fra stood & Signed the Pa stood & Signed Releas	perwork Reduction	Act:	DIA:	
Date Signature of Applicant #1	: :	Date	Signature of	Applicant #	2
Date Social Services Worker S	ignature	Date	BIA Line Off	icer (If Appl	icable)

FOR BIA HUMAN SERVICES WORKER USE	ONLY- INTERVIEW SECTION (Pages 5-18)
	☐ Not applicable
A. GENERAL ASSISTANC	E (25 C.F.R. §20.300 - §20.323)
☐ (a) Younger tha ☐ (b) A full-time stu ☐ (c) Student; P.L. ☐ (d) Medical Exe ☐ (e) Incapacitate receiving SS ☐ (f) A caretaker of Mental/ Physical (g) Parent with Of ☐ (h) Distance Reference	Date Applied:
Application for Assistance:	Eligibility Factors:
Yes No N/A  Written & Signed Application for Assistance  Timely Approval Notice Provided  Timely Denial Notice Provided  Hearing Rights Provided  Fraud Statement Provided  Eligibility Re-Determination:  Yes No N/A	Yes No N/A  Member of a Federally Recognized Indian Tribe or Alaska Native Village  Reside in a Designated Service Area or Alaska Native Village  Does not have Sufficient Resources  Concurrent Application to other Agencies  ISP Developed and Signed  Assess Applicant Employability  Not Receiving Public Assistance (SSI/ TANF)
Change in Status  Change in Status  Review & Update Eligibility (3 or 6 months)  - Signed ISP/Progress update every 3 months  - Recipient complying with ISP  Home Visit to verify Income, HH Composition & Residency	
Referral(s) to other Resources Services: Check programs to v	which the applicant is being referred:
<ul> <li>□ Temporary Assistance for Needy Families (TANF)</li> <li>□ Indian Health Services (IHS)</li> <li>□ Educational/ GED/ Vocational</li> <li>□ Mental Health Services</li> <li>□ Alcohol and Substance Abuse (ASA)</li> <li>□ Medicare</li> <li>□ Medicaid</li> </ul>	☐ Tribal Programs:  Identify:  ☐ Social Security Administration (SSA)  ☐ Housing Programs (HUD)  ☐ State/ County Programs  ☐ Veteran's Administration (VA)  ☐ Other:
☐ Employment Program	Identify:  No Referral was made

BUDGET CALCULATION (25 CFR §	§20.311-§20.313):		
Household Size: Adults:	_ Children:	TOTAL HOUSEHOLD SIZE:	
1. Monthly State Standard	\$	State Standard:	
2. Monthly Deductions	\$	Deductions:	
3. Monthly Earned Income	\$	Earned Income:	
4. Monthly Unearned Income	\$	Unearned Income:	
5. Monthly Liquid Assets* Available	\$	Liquid Assets*:	
6. Total Monthly Income	\$	What are your monthly expenses?	
7. Total Monthly Countable Income	\$	Shelter/ Rent: \$	
		Utilities: \$	
		Food: \$	
		Clothing: \$	
8. APPROVED AMOUNT	\$	TOTAL MONTHLY EXPENSES: \$	
		other financial instruments which can be connected to es and similar properties and retirement annuities.	o cash, such as
Additional Comments or Notes			
Application Approved	Application Disappro	oved	
Date of Approval D	ate of Disapproval		
		Social Services Worker Signature	Date of Signature

D. BURIAL ASSISTANCE (25 C.F.R. §20.324 - §20.20.326)						
	Former Address:					
Name of Applicant: Relation to Deceased:  Date of Birth: Date of Death:						
	Tribal Enrollment #:					
Date of Applica  Date of Applica  Timely Approval  Hearing Rights F  Fraud Statement  Fligibility Factors:  Yes No N/A  Function Member Construction of the constructi	otice Provided rovided	ska Native Village Village				
	Other Assistance, Including Tribal Assistance eath (e.g., Death Certificate, Newspaper Obituar	ry, Prayer Card, Verification from Mortuary)				
Payments: Yes No N/A  Does not Exceed Payment Made D	the BIA Burial Rate Directly to Funeral Home/ Third Party Vendor ation Costs are Justified for the Deceased Individ	dual who lived in the Service Area Within the Last Six				
Additional Comments or Note	s					
Application Approved	Application Disapproved					
——————————————————————————————————————	 Date of Disapproval					

Social Services Worker Signature

Date of Signature

E. Emergency Assistance (25 C.F.R. §20.329 - §20.330)	☐ Not applicable
Name of Applicant/Recipient:	
Tribe: Tribal Enrollment #: Agency:	
Nature of Emergency:	
Amount of Assistance: \$	
Application for Assistance:	
Yes No N/A	
— — Household Application – Dated & Signed	
Timely Approval Notice Provided	
Timely Denial Notice Provided	
☐ ☐ Hearing Rights Provided	
Fraud Statement Provided	
Eligibility Factors:	
Yes No N/A	
Enrolled Member of a Federally Recognized Indian Tribe or Alaska Native Village	
Reside in Designated Service Area or Alaska Native Village	
Does not Have Insurance	
Application to Other Resource (e.g., Red Cross)	
☐ ☐ Proof of Loss (e.g., Police Report, Fire Report)	
Payments: Yes No N/A	
☐ ☐ Household Payment Does Not Exceed Current BIA Rate for Essential & Non-Medical New	od.
☐ ☐ Authorized Payment is Based on Itemized Loss- Loss related to Essential Needs	zu
Authorized Payment is based on itemized Loss- Loss related to Essential Needs	
Additional Comments or Notes	
Application Approved Application Disapproved	
Date of Approval  Date of Disapproval	

Social Services Worker Signature

Date of Signature

	☐ Not applicable						
	G. INFORMATION & REFERRAL ONLY						
DATE	NARRATIVE						

OMB Control No. 1076-0017 Expires: 06/30/2017

#### **NOTIFICATION TO CLIENT**

#### **PRIVACY ACT STATEMENT**

25 CFR Part 20 and 25 U.S.C. 13 authorize the collection of this information. The information is confidential and is never disclosed without written clearance and consent of the applicant. The primary use of this information is to determine eligibility for financial assistance and services for the Bureau of Indian Affairs (BIA) Child Welfare, Burial and Disaster Assistance Programs. Additional disclosures of this information may be to other BIA or tribal officials in the conduct of their official duties pertaining to the application for financial assistance or services, or in the conduct of program review and to the Office of Inspector General or the General Accounting Office when conducting an audit of BIA Programs, or local Law Enforcement agency when the agency becomes aware of violation or possible violation of civil or criminal law, and to the General Services Administration in connection with its responsibility for records management. This information will be entered into the BIA, Financial Assistance and Social Services – Case Management System, Interior/BIA-8 (76 FR 56787), which can be obtained upon request from the Chief, Division of Human Service, 1849 C Street, N.W., MS-4513-MIB, Washington DC 20240. No record contained therein may be disclosed by any means of communication to any person, or to another agency, except pursuant to a written request by, or with prior written consent of the individual to whom the records pertains. Executive Order 9397 authorizes the collection of your Social Security number. Furnishing the information is voluntary but failure to do so may result in disapproval of your application. If the BIA uses the information furnished on this form for purposes other than those indicated above, it may provide you with an additional statement reflecting those purposes.

Under the Privacy Act, BIA may not give out information you give the social service worker except that BIA may share the information with other Federal, State, and Tribal offices and programs who have some responsibility with the social services for which you are applying. The information can also be given to those agencies when you ask them for a job or some other benefit and for law enforcement purposes. This can be done without your consent. For any other person or program wanting information from your case file, you must first give your written consent. You have the right to know what information is in your case record and you can ask to see it. If you believe some information in your case file is inaccurate, ask your caseworker about how to change the information in the case record.

# FEDERAL LAW GOVERNING FRAUD

Whoever, in any matter within the jurisdiction of any department or agency of the United States, knowingly and willfully falsifies, conceals, or covers up by any trick, scheme, or devise a material fact, or makes or uses any false writing or documents, knowing the same to contain any false, fictitious or fraudulent statement or entry, shall be fined not more than \$10,000 or imprisoned not more than five years or both.

#### PAPER WORK REDUCTION ACT STATEMENT

This information is being collected to determine applicant eligibility for financial assistance and services and to provide Bureau of Indian Affairs (BIA) managers with information for program planning, reporting and utilization. Response to this collection is required to obtain benefits under 25 CFR 20. A Federal Agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. Public reporting for this form is estimated to average 30 minutes per response, including the time for reviewing instructions, gathering and maintaining data, completing the form. Direct comment regarding the burden estimate or any other aspect of this form to: Information Collection Clearance Officer, Office of Regulatory Affairs & Collaborative Action – Indian Affairs, 1849 C Street, N.W., MS-3071-MIB, Washington, D.C. 20240.

# **DECISION**

When you file an application for social services, you have a right to a written decision within 30 days. In some cases, it may take 45 days. If you disagree with the decision, you may have a review of the decision by seeing your Human Services worker or supervisor. You also may file an appeal and have a hearing. An applicant or recipient must pursue the appeal process applicable to the Public Law 93-638 contract, Public Law 102-477 grant, or Public Law 103-413 Self-Governance Annual Funding Agreement. The regulations for Human Services are in Title 25, Code of Federal Regulations, Part 20.

The amount of grant assistance you may receive or authorize to be expended is based on State Standards of Public Assistance and/or the rates established by the Assistant Secretary - Indian Affairs, minus your income and available resources. The information you give must be accurate. If your circumstances change, you must report this immediately to your Human Services office. By doing so, your Social Services worker can give you proper assistance you are eligible to receive.

Within the limits of its authority, the Human Services Office wants to help you. Ask your Human Services worker to more fully explain any of this information. If you give inaccurate information and receive assistance to which you are not entitled, you will be required to pay it back.

#### **ELIGIBILITY**

## <u>INDIAN BLOOD</u> (25 CFR §20.100)

Applicant must (1) be a member of a federally recognized Indian Tribe, or (2) in the Alaska service area only, any person who meets the definition of "Native" as defined under 43 U.S.C. 1602(b): "a citizen of the United States and one-fourth degree or more Alaska Indian." It includes, in the absence of proof a minimum blood quantum, any citizen of the United States who is regarded as an Alaska Native by the Native village or Native group of which he claims to be a member and whose father or mother is (or, if deceased, was) regarded as native by a village or group.

# RESIDENCY (25 CFR §20.100 & §20.300)

To be eligible for assistance or services, an applicant must reside in a designated service area.

# **ELIGIBILITY FOR OTHER SERVICES**

Applicant must not be receiving or eligible to receive County/State Public Welfare or Social Security Income. An individual or family who is presumed to be eligible for these programs may, after providing evidence of having applied for those benefits, be granted General Assistance (GA), pending approval of such application. Also, all clients applying for GA who are eligible for assistance from other programs such as Social Security, Unemployment Benefits, Worker's Compensation, Veteran Benefits, Retirement, etc., will be required to seek and show that they have applied for that assistance. The BIA Financial Assistance and Social Services programs are a secondary resource and cannot be used to supplant or supplement other programs.

## POLICY ON EMPLOYMENT: ACCEPTANCE OF AVAILABLE EMPLOYMENT (25 CFR §20.314)

An applicant must actively seek employment including the use of available state, tribal, county, local or Bureau-funded employment services, which they are able and qualified to perform. This means that a recipient, prior to and after applying for GA, must continue to actively seek employment. An applicant or recipient of GA who is determined employable must also accept local and seasonable employment when it is available. According to 25 CFR §20.316, the recipient must demonstrate that they are actively seeking employment by providing the Human Services worker with evidence of job search activities as required in the Individual Service Plan (ISP) and if they do not seek available local and seasonal employment or quit a job without good cause, they cannot receive GA for a period of at least 60 days but not more than 90 after they refuse or quit a job.

Applicants must report all current and expected employment and income. Those claiming temporary or permanent disability are required to present documented medical verification of such disability.

# REPORTING REQUIREMENTS

It is the responsibility of all Financial Assistance applicants to report and present appropriate documentary verification of any and all changes that may occur in their income or living arrangements. Failure to do so may constitute fraud and be subject to prosecution and/or repayment of disbursements. Each of the following must be reported as they occur:

- A move from one residence to another
- Addition to or reduction in household members
- Payments received from boarders or lodgers
- Changes or adjustments in housing or Utility Costs
- A move from the Reservation Area, Designated Service Area, or Alaska Native Village

IMPORTANT: Once you have finished reading the <u>Notification to the Client</u> you must sign and date Page 4 of the Application and check that you have read and understand all provisions of the Privacy Act/FOIA, the Fraud Statement, the Paperwork Reduction Act, and sign the Release of Information Statement.



# **United States Department of the Interior**

**BUREAU OF INDIAN AFFAIRS** 

# KEWEENAW BAY INDIAN COMMUNITY ASSISTANCE PROGRAM'S GENERAL ASSISTANCE PROGRAM

16429 Beartown Road, Baraga, MI 49908 Telephone (906) 353-4162 Fax (906) 353-4141



# **RELEASE OF INFORMATION**

You grant and authorize the exchange of information between the BIA/ Tribal Human Services Program and the following agencies/programs:

Tribal/State Employment Offices	Tr	ibal/State Alcohol & Drug Programs
Tribal/State Social Services Programs	Tr	ibal/State Housing Programs
Social Security Administration	Ve	teran's Administration
Tribal/State Education Programs	Tr	ibal/State Federal Probation Programs
Tribal/State/Federal Courts		ibal/State Child Protection Services
Tribal/State Medical Services		ibal/State Mental Health Services
Tribal Enterprises		ibal/State Voc-Rehab Programs
Alaska Native Corporations	Inc	dian Health Services
State/County Fiduciary Trust Offices		
Other (specify):	. Ot	her (specify):
Other (specify):	. Ot	her (specify):
to other programs that would benefit you. understand any information obtained will l providing benefits or services on your behavior.	By signing on the soe kept confidentials. You further agr	eceive Financial Assistance and Social Service benefits or referral tatement of cooperation (Page 3 of the Application) you agree and I and will be used only for the purposes directly connected with ee and understand that any information obtained may be released encies for purposes of legal and investigative action concerning
This Release of Information will remain in authorization.	effect for one (1) ye	ear from date of signature or until you request to rescind
I authorize the KBIC CAP GA Program to ob Assistance and Social Services.	tain and/or exchar	nge information necessary to establish eligibility for Financial
Name of Applicant (Print)	 Date	Signature of Applicant